



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/736,760	12/13/2000	Kevin Carothers	CITI0204

CONFIRMATION NO. 8056

## FORMALITIES LETTER



\*OC000000005741469\*

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Kilpatrick Stockton LLP  
700 13th Street, NW, Suite 800  
Washington, DC 20005

Date Mailed: 02/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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CITI0204



Section 8  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Kevin CAROTHERS, et al.

Group Art Unit: 2163

Serial No.: 09/736,760

Examiner: Not Assigned

Filed: December 13, 2000

For: SYSTEM AND METHOD FOR MANAGING FINANCIAL TRANSACTION  
INFORMATION

**TRANSMITTAL OF MISSING PARTS OF NONPROVISIONAL APPLICATION**

Commissioner of Patents  
Box: MISSING PARTS  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of NonProvisional Application -- Filing Date Granted mailed February 7, 2001, the following items are submitted herewith to complete the formal filing requirements for the above-identified application:

- (1) Declaration/Power of Attorney (2) for this patent application executed by the inventors;
  - (2) Petition for Four-Month Extension to File;
  - (3) Checks for the following:
    - \$130.00 for surcharge fee pursuant to 37 CFR § 1.16(e);
    - \$1,390.00 for four-month extension fee pursuant to 37 CFR § 1.17(a);
- and

- (4) A copy of the Notice to File Missing Parts of NonProvisional Application -  
Filing Date Granted mailed on February 7, 2001.

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same, or to credit any overpayment, to Deposit Account No. 501458. A duplicate copy of this correspondence is enclosed for that purpose.

Respectfully submitted,

Date: 7/23/01  
KILPATRICK STOCKTON LLP  
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Washington, D.C. 20005  
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for J. Ball #44,433  
George T. Marcou (Reg. No. 33,014)